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Patent  
Case No.: 59029US004

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor: CAPECCHI, JOHN T.

Application No.: 10/596836 Confirmation No.: 1181

Filed: December 10, 2004

Title: MEDICINAL COMPOSITIONS AND METHOD FOR THE PREPARATION  
THEREOF

### INFORMATION DISCLOSURE STATEMENT

Mail Stop: Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

<b>CERTIFICATE OF MAILING OR TRANSMISSION [37 CFR § 1.8(a)]</b>	
I hereby certify that this correspondence is being:	
<input checked="" type="checkbox"/> deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.	
July 7, 2006	Signed by: Carrie M. Arcand

Dear Sir:

Pursuant to 37 CFR §§ 1.56, 1.97, and 1.98, enclosed is a completed Form PTO-1449, citing references submitted for consideration by the Examiner. It is respectfully requested that the Examiner initial and return the enclosed Form PTO-1449 to indicate that each reference has been considered.

Copies of any additional cited foreign patents, foreign publications, non-patent literature documents; and any pending U.S. applications filed before June 30, 2003, are enclosed. Copies of any pending U.S. applications filed after June 30, 2003 that can be accessed on the USPTO's IFW system are not enclosed as per USPTO Waiver dated September 21, 2004. Copies of any U.S. patents and published U.S. patent applications are not enclosed.

It is believed that no fee is due; however, in the event a fee is required, please charge the fee to Deposit Account No. 13-3723.

Respectfully submitted,

By:

*Daniel R. Pastirik*  
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Telephone No.: 651-737-2685

*July 6, 2006*  
Date

Office of Intellectual Property Counsel  
3M Innovative Properties Company

Substitute for form 1449A/PTO (modified)		Application Number	10/596,836
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>		Filing Date	December 10, 2004
Use as many sheets as necessary		First Named Inventor	Capecci, John T.
JUL 10 2006 PATENT & TRADEMARK OFFICE U.S. PATENT AND TRADEMARK OFFICE		Confirmation Number	1181
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U.S. Patent Documents				
Exam. Init.*	Cite No.	Document Number Doc. Number-(Kind Code if Known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
	A1	US- 5,041,529	8/20/1991	Shinoda et al.
	A2	US- 5,302,693	4/12/1994	Stricker et al.
	A3	US- 5,569,450	10/29/1996	Duan et al.
	A4	US- 5,618,911	4/08/1997	Kimura et al.
	A5	US- 5,714,618	2/03/1998	Kimura et al.
	A6	US- 5,871,771	2/16/1999	Zierenberg et al.
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Foreign Patent Documents						
Exam. Init.*	Cite No.	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Ctry. Code	Number-KindCode (if known)			Translation (Check if yes)
	B1	JP	9059218	3/04/1997	Shimadzu Corp.	
	B2	JP	9124778	5/13/1997	Shimadzu Corp.	Abstract Only
	B3	WO	94/21229	9/29/1994	Minnesota Mining	
	B4	WO	03/033592	4/24/2003	Samyang Corp.	
	B5	WO	03/033593	4/24/2003	Samyang Corp.	

OTHER DOCUMENTS				
Exam. Init.*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published		
	C1	H. Fukazaki et al., "Synthesis of Copoly (D,L-Lactic Acid) with Relatively Low Molecular Weight and In Vitro Degradation," <i>Eur. Polym. J.</i> , 25, 1019-1026 (1989)		

*Examiner:	Date Considered:
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

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#### OTHER DOCUMENTS

Exam. Init.*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation (Check if yes)
	C2	A. Carrio et al., "Preparation and degradation of surfactant-free PLAGA microsphere," <i>J. Controlled Release</i> , 37, 113-121 (1995)	
	C3	P.P. DeLuca et al., "Biodegradable Polyesters for Drug and Polypeptide Delivery," <i>Polymeric Delivery Systems</i> ; ACS; Chapter 4, 53-77 (1993)	
	C4	B. Wichert et al., "Low molecular weight PLA: a suitable polymer for pulmonary administered microparticles?". <i>J. Microencapsulation</i> , 10, 195-207 (1993)	
	C5	J.W. Tom et al., "Precipitation of Poly(L-lactic acid) and Composite Poly(L-lactic acid)-Pyrene Particles by Rapid Expansion of Supercritical Solutions; <i>J. Supercritical Fluids</i> , 7, 9-29 (1994)	
	C6	R. Wada et al., "New biodegradable oligoesters for pharmaceutical application," <i>J. Biomater Sci., Polym. Ed.</i> , 7, 715-725 (1996)	
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	C8	D. Duan et al., "Oligomeric Lactic Acids as Solubilizing Aids for HFA-Based Metered Dose Inhalers," Biomaterials Technology Center, 3M Corporation, 3M Pharmaceuticals	
	C9	D. Duan et al., "Novel Dispersing Aids for Hydrofluoroalkane-Based Metered Dose Inhalers," Biomaterials Technology Center, 3M Corporation, 3M Pharmaceuticals	
	C10	C. Leach et al., "Metabolism, Distribution, and Toxicity of Oligomeric Lactic Acids Used in Pulmonary Drug Delivery," Biomaterials Technology Center, 3M Corporation, 3M Pharmaceuticals	
	C11	J. Stefely et al., "Design and Utility of a Novel Class of Biocompatible Excipients for HFA-Based MDIs," Respiratory Drug Delivery VII, Palm Harbor at Tarpon Springs, FL, 5/14-18, 2000	

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